

B 210A (Form 210A) (12/09)

## UNITED STATES BANKRUPTCY COURT

Southern District Of New York

In re Lehman Brothers Holdings Inc.,

Case No. 08-13555

### PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

HBK MASTER FUND L.P.

Name of Transferee

Name and Address where notices to  
transferee should be sent:

HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, TX 75201

Phone: (214) 758-6107

Last Four Digits of Acct #: N/A

Name and Address where transferee  
payments should be sent (if different from  
above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: N/A

CITIGROUP FINANCIAL PRODUCTS INC.

Name of Transferor

Court Claim # (if known): Claim No. 66360

Amount of Claim Transferred: \$20,000,000.00

Date Claim Filed: January 25, 2011

Debtor: Lehman Brothers Holdings Inc.

Phone: \_\_\_\_\_

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ J. R. Smith

Transferee/Transferee's Agent

Date: December 10, 2014

United States Bankruptcy Court  
Southern District of New York

In re Lehman Brothers Holdings Inc., et. al., Debtors.

Case No. 08-13555 (SCC)  
(Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee and Transferor hereby give evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice. Transferor waives its right to raise any objection to the transfer of the claim to Transferee, and Transferor waives its right to receive notice pursuant to Rule 3001(e), Fed. R. Bankr. P. of the transfer of the claim. Transferor consents to the substitution of Transferor by Transferee for all purposes in the above referenced bankruptcy cases with respect to the claim, including without limitation, for voting and distribution purposes. Transferor stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the claim to Transferee and recognizing Transferee as the sole owner and holder of the claim. Transferor hereby directs that all payments, distributions, notices and communications in respect of or with respect to the claim be made to Transferee.

HBK Master Fund L.P.  
Name of Transferee

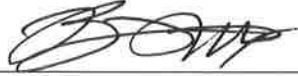
Citigroup Financial Products Inc.  
Name of Transferor

Name and Address where notices to  
transferee should be sent:

Court Claim # (if known): 66360  
Amount of Claim Transferred: \$20,000,000.00  
Date Claim Filed: January 25, 2011  
Debtor: Lehman Brothers Holding Inc.

HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, Texas 75201  
Telephone: (214) 758-6107  
Facsimile: (214) 758-1207  
Attention: General Counsel  
Email: legal@hbk.com

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent **Beauregard A. Fournet**  
**Authorized Signatory**

Date: 12/9/2014

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*  
Acknowledged and Agreed:

By: \_\_\_\_\_  
Transferor/Transferor's Agent

Date: \_\_\_\_\_

**United States Bankruptcy Court  
Southern District of New York**

In re Lehman Brothers Holdings Inc., et. al., Debtors.

Case No. 08-13555 (SCC)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee and Transferor hereby give evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice. Transferor waives its right to raise any objection to the transfer of the claim to Transferee, and Transferor waives its right to receive notice pursuant to Rule 3001(e), Fed. R. Bankr. P. of the transfer of the claim. Transferor consents to the substitution of Transferor by Transferee for all purposes in the above referenced bankruptcy cases with respect to the claim, including without limitation, for voting and distribution purposes. Transferor stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the claim to Transferee and recognizing Transferee as the sole owner and holder of the claim. Transferor hereby directs that all payments, distributions, notices and communications in respect of or with respect to the claim be made to Transferee.

HBK Master Fund L.P.  
Name of Transferee

Citigroup Financial Products Inc.  
Name of Transferor

Name and Address where notices to  
transferee should be sent:

Court Claim # (if known): 66360  
Amount of Claim Transferred: \$20,000,000.00  
Date Claim Filed: January 25, 2011  
Debtor: Lehman Brothers Holding Inc.

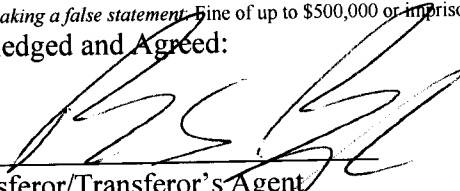
HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, Texas 75201  
Telephone: (214) 758-6107  
Facsimile: (214) 758-1207  
Attention: General Counsel  
Email: legal@hbk.com

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*  
Acknowledged and Agreed:

By:  \_\_\_\_\_  
Transferor/Transferor's Agent  
Brian S. Broyles  
Authorized Signatory

Date: 12/9/2014

B 210A (Form 210A) (12/09)

# UNITED STATES BANKRUPTCY COURT

Southern District Of New York

In re Lehman Brothers Holdings Inc.,

Case No. 08-13555

## PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

HBK MASTER FUND L.P.

Name of Transferee

Name and Address where notices to  
transferee should be sent:

HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, TX 75201

Phone: (214) 758-6107

Last Four Digits of Acct #: N/A

Name and Address where transferee  
payments should be sent (if different from  
above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: N/A

CITIGROUP FINANCIAL PRODUCTS INC.

Name of Transferor

Court Claim # (if known): Claim No. 66361

Amount of Claim Transferred: \$20,000,000.00

Date Claim Filed: January 25, 2011

Debtor: Lehman Brothers Special Financing

Phone: \_\_\_\_\_

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ J. R. Smith

Transferee/Transferee's Agent

Date: December 10, 2014

B 210A (Form 210A) (12/09)

**United States Bankruptcy Court  
Southern District of New York**

In re Lehman Brothers Holdings Inc., et. al., Debtors.

Case No. 08-13555 (SCC)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee and Transferor hereby give evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice. Transferor waives its right to raise any objection to the transfer of the claim to Transferee, and Transferor waives its right to receive notice pursuant to Rule 3001(e), Fed. R. Bankr. P. of the transfer of the claim. Transferor consents to the substitution of Transferor by Transferee for all purposes in the above referenced bankruptcy cases with respect to the claim, including without limitation, for voting and distribution purposes. Transferor stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the claim to Transferee and recognizing Transferee as the sole owner and holder of the claim. Transferor hereby directs that all payments, distributions, notices and communications in respect of or with respect to the claim be made to Transferee.

HBK Master Fund L.P.  
Name of Transferee


Citigroup Financial Products Inc.  
Name of Transferor

Name and Address where notices to  
transferee should be sent:

Court Claim # (if known): 66361  
Amount of Claim Transferred: \$20,000,000.00  
Date Claim Filed: January 25, 2011  
Debtor: Lehman Brothers Special Financing

HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, Texas 75201  
Telephone: (214) 758-6107  
Facsimile: (214) 758-1207  
Attention: General Counsel  
Email: legal@hbk.com

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent  
**Beauregard A. Fournier**  
**Authorized Signatory**

Date: 12/9/2014

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

By: \_\_\_\_\_  
Transferor/Transferor's Agent

Date: \_\_\_\_\_

B 210A (Form 210A) (12/09)

**United States Bankruptcy Court  
Southern District of New York**

In re Lehman Brothers Holdings Inc., et. al., Debtors.

Case No. 08-13555 (SCC)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee and Transferor hereby give evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice. Transferor waives its right to raise any objection to the transfer of the claim to Transferee, and Transferor waives its right to receive notice pursuant to Rule 3001(e), Fed. R. Bankr. P. of the transfer of the claim. Transferor consents to the substitution of Transferor by Transferee for all purposes in the above referenced bankruptcy cases with respect to the claim, including without limitation, for voting and distribution purposes. Transferor stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the claim to Transferee and recognizing Transferee as the sole owner and holder of the claim. Transferor hereby directs that all payments, distributions, notices and communications in respect of or with respect to the claim be made to Transferee.

HBK Master Fund L.P.  
Name of Transferee

Citigroup Financial Products Inc.  
Name of Transferor

Name and Address where notices to  
transferee should be sent:

Court Claim # (if known): 66361  
Amount of Claim Transferred: \$20,000,000.00  
Date Claim Filed: January 25, 2011  
Debtor: Lehman Brothers Special Financing

HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, Texas 75201  
Telephone: (214) 758-6107  
Facsimile: (214) 758-1207  
Attention: General Counsel  
Email: legal@hbk.com

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

By:   
Transferor/Transferor's Agent  
Brian S. Broyles  
Authorized Signatory

Date: 12/9/2014